

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF
COMMITTEE (in full)**USE FEC MAILING LABEL
OR TYPE OR PRINT**Example: If typing, type
over the lines

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

☐Check if different
than previously
reported. (ACC)

Washington

DC

20004

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00111559

3. IS THIS
REPORT☒NEW
(N)

OR

☐AMENDED
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15
Quarterly Report(Q1)☐July 15
Quarterly Report(Q2)☐October 15
Quarterly Report(Q3)☐January 31
Quarterly Report(YE)☐July 31 Mid-Year
Report(Non-election
Year Only) (MY)☐Termination Report
(TER)(b) Monthly
Report
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)
(Non-Election
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☒Dec 20 (M12)
(Non-Election
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day
PRE-Election
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12G)

Election on

in the
State of(d) 30-Day
Post -Election
Report for the:☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the
State of

5. Covering Period

11

01

2009

through

11

30

2009

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Ms Mary Lynn, Whalen

Signature of Treasurer

Electronically Filed by Ms Mary Lynn, Whalen

Date

12

21

2009

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office
Use
Only**FEC FORM 3X**
(Rev. 12/2004)

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

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Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

| | |
|---|---|
| M | M |
| 1 | 1 |

| | |
|---|---|
| D | D |
| 0 | 1 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 9 |

To:

| | |
|---|---|
| M | M |
| 1 | 1 |

| | |
|---|---|
| D | D |
| 3 | 0 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 9 |

| | COLUMN A This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand January 1 | 2009 | 187328.13 |
| (b) Cash on Hand at Beginning of Reporting Period | 215517.28 | |
| (c) Total Receipts (from Line 19) | 31891.57 | 409285.62 |
| (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B) | 247408.85 | 596613.75 |
| 7. Total Disbursements (from Line 31) | 0.00 | 349204.90 |
| 8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) | 247408.85 | 247408.85 |
| 9. Debts and Obligations owed TO the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |
| 10. Debts and Obligations owed BY the committee (Itemize all on Schedule C and/or Schedule D) | 0.00 | |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

3 / 178

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

| | |
|---|---|
| M | M |
| 1 | 1 |

| | |
|---|---|
| D | D |
| 0 | 1 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 9 |

To:

| | |
|---|---|
| M | M |
| 1 | 1 |

| | |
|---|---|
| D | D |
| 3 | 0 |

| | | | |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 0 | 9 |

| I. Receipts | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From: | | |
| (a) Individuals/Persons Other Than Political Committees | | |
| (i) Itemized (use Schedule A) | 31372.11 | 308858.78 |
| (ii) Unitemized | 519.46 | 100426.84 |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) | 31891.57 | 409285.62 |
| (b) Political Party Committees | 0.00 | 0.00 |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) | 31891.57 | 409285.62 |
| 12. Transfers From Affiliated/Other Party Committees | 0.00 | 0.00 |
| 13. All Loans Received | 0.00 | 0.00 |
| 14. Loan Repayments Received | 0.00 | 0.00 |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) | 0.00 | 0.00 |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees | 0.00 | 0.00 |
| 17. Other Federal Receipts (Dividends, Interest, etc.) | 0.00 | 0.00 |
| 18. Transfers from Non-Federal and Levin Funds | | |
| (a) Non-Federal Account (from Schedule H3) | 0.00 | 0.00 |
| (b) Levin Funds (from Schedule H5) | 0.00 | 0.00 |
| (c) Total Transfer (add 18(a) and 18(b)). | 0.00 | 0.00 |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) | 31891.57 | 409285.62 |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) | 31891.57 | 409285.62 |

DETAILED SUMMARY PAGE

of Disbursements

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FEC Form 3X (Rev. 02/2003)

| II. DISBURSEMENTS | | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|--|------|-------------------------------|-----------------------------------|
| 21. Operating Expenditures: | | | |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4) | | | |
| (i) Federal Share..... | 0.00 | 0.00 | |
| (ii) Non-Federal Share..... | 0.00 | 0.00 | |
| (b) Other Federal Operating Expenditures..... | 0.00 | 0.00 | |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b))..... ➤ | 0.00 | 0.00 | |
| 22. Transfers to Affiliated/Other Party Committees..... | 0.00 | 0.00 | |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees..... | 0.00 | 345000.00 | |
| 24. Independent Expenditure (use Schedule E) | 0.00 | 0.00 | |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | 0.00 | 0.00 | |
| 26. Loan Repayments Made..... | 0.00 | 0.00 | |
| 27. Loans Made..... | 0.00 | 0.00 | |
| 28. Refunds of Contributions To: | | | |
| (a) Individuals/Persons Other Than Political Committees | 0.00 | 4204.90 | |
| (b) Political Party Committees | 0.00 | 0.00 | |
| (c) Other Political Committees (such as PACs) | 0.00 | 0.00 | |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) | 0.00 | 4204.90 | |
| 29. Other Disbursements..... | 0.00 | 0.00 | |
| 30. Federal Election Activity (2 U.S.C 431(20)) | | | |
| (a) Shared Federal Election Activity (from Schedule H6) | | | |
| (i) Federal Share | 0.00 | 0.00 | |
| (ii) "Levin" Share | 0.00 | 0.00 | |
| (b) Federal Election Activity Paid Entirely With Federal Funds | 0.00 | 0.00 | |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b)).... | 0.00 | 0.00 | |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)).. | 0.00 | 349204.90 | |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.00 | 349204.90 | |

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

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| III. Net Contributions/Operating Expenditures | COLUMN A Total This Period | COLUMN B Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans) from Line 11(d), page 3) | 31891.57 | 409285.62 |
| 34. Total Contribution Refunds (from Line 28(d)) | 0.00 | 4204.90 |
| 35. Net Contributions (other than loans) (subtract Line 34 from Line 33) | 31891.57 | 405080.72 |
| 36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b))..... | 0.00 | 0.00 |
| 37. Offsets to Operating Expenditures (from Line 15, page 3) | 0.00 | 0.00 |
| 38. Net Operating Expenditures (subtract Line 37 from Line 36) | 0.00 | 0.00 |

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph Seidel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2500.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 2 5 / 2 0 0 9

Transaction ID: 8745921

Amount of Each Receipt this Period

2500.00

B.

Full Name (Last, First, Middle Initial)

James F Martin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 1 5 / 2 0 0 9

Transaction ID: 8777912

Amount of Each Receipt this Period

52.64

C.

Full Name (Last, First, Middle Initial)

Angelo M May

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 1 5 / 2 0 0 9

Transaction ID: 8777915

Amount of Each Receipt this Period

104.16

SUBTOTAL of Receipts This Page (optional)

2656.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher L O'Donnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 1 5 / 2 0 0 9

Transaction ID: 8777968

Amount of Each Receipt this Period

26.32

B.

Full Name (Last, First, Middle Initial)

Nicole M. Andres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: 8778169

Amount of Each Receipt this Period

20.84

C.

Full Name (Last, First, Middle Initial)

Michelle Baran Arrais

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: 8778172

Amount of Each Receipt this Period

10.42

SUBTOTAL of Receipts This Page (optional)

57.58

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Timothy J Croak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

460.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: 8778264

Amount of Each Receipt this Period

40.00

B.

Full Name (Last, First, Middle Initial)

James F Martin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: 8778478

Amount of Each Receipt this Period

26.32

C.

Full Name (Last, First, Middle Initial)

Thomas T. Chung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324353386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

107.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David S Alterman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324363386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Richard M Dart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324393386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Douglas Nieters

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324473386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jonathan Trauben

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324483386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Albert A. Scarola

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324493386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John R Bateman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324503386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michele Hirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324523386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Glenn M Ohlmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324533386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Karl L Sussman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324573386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael J Caruso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324583386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

David A Cantor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324593386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jeffrey S Sohm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324603386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Francis H Lusardi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1132463386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Christopher C Wilson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324643386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324653386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

145.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Charles G. Froeb

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324673386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Chris Campbell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324703386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mika A. Toikka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324713386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

166.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Samuel V Petrucci

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324733386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael J Cash

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324743386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Karen E Suric

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324773386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Kenneth J Dougherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324783386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael E Donnelly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324793386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Alan L Davis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324803386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Peter Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1132483386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Salvatore Favia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324843386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gordon Greer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324853386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324863386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

George R Hornig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324883386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324893386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

500.02

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark A Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324923386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ryan E. Schlitt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324973386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gerald M Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11324993386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

James D. Allen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325003386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

William O. Brenton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325023386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Cari Berlage Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325043386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas H Ritchie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325063386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Marcia L Kessler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325083386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael P Mulholland

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325143386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Albert S Park

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325153386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Janet M. Yung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325163386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ira A Negin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325173386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Edward A Poletti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325193386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Carlos Onis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325203386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John Craig Oxman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325213386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 24 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Semyon K Apter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325223386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ivy B Dodes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325233386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Timothy E. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325243386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Douglas L Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.66

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325253386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stephen H. Can

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2200.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325263386

Amount of Each Receipt this Period

200.00

P/R Deduction (\$100.00 Se-
mi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Bernard S Lored

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325293386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

429.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael C Speller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325303386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael H. Schoen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325323386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ana R Byrne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325333386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William Leigh Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325373386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gavin H Wolfe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325383386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Richard Soto

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

275.82

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325413386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

130.50

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John C Robertshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325433386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Patrice Aitken

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325443386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lewis H Wirshba

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325463386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

458.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Richard A Hightower

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325473386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stephen L Oplinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

953.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325493386

Amount of Each Receipt this Period

86.66

P/R Deduction (\$43.33 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael S Isikow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325503386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

169.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 30 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven H Garnett

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1104.21

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325513386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

George C Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325543386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert Arsov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325563386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

145.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William C Helm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325573386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Anthony O'Connor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325593386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Patrick R. Ward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325633386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 178

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ladd W McQuade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325643386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael Paliotta

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325653386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Deborah A Langiulli

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325663386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

333.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 33 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Paul J O'Keefe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325673386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas J. Scott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325693386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Faye Yezril

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325713386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

208.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 34 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Robert Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325733386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John E Cogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325753386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Andrew E Firstman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325773386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph Maissel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325803386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

David Y. Ping

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325813386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Oleg N Baranetz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325823386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 36 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William Lau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325833386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jim Captain

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325843386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Baskaran Muthusamy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325853386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Badarinath Vinjamuri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325863386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

James M Potesky

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325923386

Amount of Each Receipt this Period

20.00

P/R Deduction (\$10.00 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lawrence A Hamdan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325943386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William Bors

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325953386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Andrew M Hatcher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11325963386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Amin Khadduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326003386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 39 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ian J Buckley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326023386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Sheldon Hanau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326033386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jennifer Pepe McDade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326043386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Philip S Vasan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326083386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bartholomew F Pinello

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326093386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michelle A Natalicchio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326113386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jill E Ford

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326133386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stephen Ellenberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

244.57

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326143386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joel L Shandelman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326153386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Samuel H Schwartz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326163386

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Peter V Meyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326223386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Alexander V Kroner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326243386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

208.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Patrick Remmert, Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326263386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Louis J Impellizeri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326273386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326303386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeffrey W Jaenicke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326323386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Colleen A Graham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326333386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Daniel H Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326343386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 45 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326363386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mark C Styslinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326373386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

George L Michaels

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326383386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas Lehrkinder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326403386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Steven M Yanez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326423386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Long P Wong

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326453386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Orlando W Knauss

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326463386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert J Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326483386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326493386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Edward S Nadel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326513386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326533386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Reiner Boehning

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326553386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

166.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Dennis J Blysick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326623386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Steven M Kaiman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326653386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Patrick C Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326693386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gerard Muldoon, Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326713386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Russell P Kaplan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

410.56

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326723386

Amount of Each Receipt this Period

35.30

P/R Deduction (\$17.65 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Emmet A Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326733386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

285.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Eduardo Vieira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326743386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael A Legaspi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326753386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Edward A Johnson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326763386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven C Mahoney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326773386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Cristina Von Bargaen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326803386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jeffrey D Melnik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326813386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

154.42

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David N. Frank

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326823386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lawrence M Latimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326843386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

James J Jaxon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326883386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David J Barrish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326893386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

David S Goldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326903386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Neil Berkowitz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326923386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Todd D Aldrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326943386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Victoria L Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326973386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gail G Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11326983386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Maria Chiodi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

586.96

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327003386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward J Kelly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327023386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Christina Richardson-Bourke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327063386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

121.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Vishwanathan R. Raghuraman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327083386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Nell Cady-Kruse

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327093386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Theodore M. Lowicki

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327103386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Roy E. Alexander

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

239.43

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327123386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bryan M Reed

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327163386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Christopher J. Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

293.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327173386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

71.06

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William M. Woodall

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327203386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jodi DeVito

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327233386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Anthony J. LaCivita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.62

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327243386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Skip S Seeder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327253386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Craig Sterling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327273386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joseph M. Petrecca

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327293386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David C. Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327303386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Julie Kalish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327313386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Heather L. Mosbacher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327323386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 62 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark E Zima

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327353386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lawrence Shurman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327363386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Paul M Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327373386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 63 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mary H. Lorimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327383386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Philip C. King

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327393386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

James E Hiatt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327413386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 64 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Frank McGee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327433386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert E Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327463386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joshua Walfish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327543386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William E. Beck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327573386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Todd M. Orlich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327623386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gregory L. Piccininno

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327633386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeffrey L Gottheim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327643386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Charles P. Pieper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327683386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert A Hon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327703386

Amount of Each Receipt this Period

31.23

P/R Deduction (\$31.23 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

260.39

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mattia E Filiaci

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327713386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mike D. Custar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327773386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Maria A. Banke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327803386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark Barres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327813386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert S Demetris

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327833386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Sanjeev Chaurasia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327853386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jeremy A. Siegel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327873386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Sean T Flynn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327883386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327893386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

284.72

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Yong Yan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327913386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Raffi Makhouljian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327923386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Paul R Burraston

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327933386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 71 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joshua A. Lukeman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327943386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Alpa D Panchal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327953386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

David S. Sirkin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11327993386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Clare M. Giffin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328003386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jeffrey D. Schor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328023386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Timothy J. Fitzsimmons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328033386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gita S. Redfearn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328103386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jose L. Marques

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328123386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Francis J.X. Bravin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328143386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Yair Yaish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328163386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ellen M. Ho

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328203386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Matthew Hodus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328223386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Alexander J. Witherill

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

551.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1132823386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael Smushkovich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1132828386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Sreekantha V. Babu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1132832386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

94.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Matthew B. Waldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328403386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Kevin S. Monaco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328413386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jiawei Zhang

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328443386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

George E. Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328453386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

George T Tasolides

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328493386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Cecilia E Lagerman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328503386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas A. Finlan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328533386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John Sheehan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328543386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gregory J Dabal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328553386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Douglas A Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328583386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mark L Washburn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328623386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Stephen P. Keefer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328643386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.84

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Neil A. Mitchell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328663386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

James M Bernard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328693386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Anna Vigdorova

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328713386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 81 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Lynn L. Little

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328733386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Adrienne Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328763386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Alan C. Wolman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328773386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jason M Satsky

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328803386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert A Wrzosek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328843386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Neil Carragher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328853386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Raed J Elkhatib

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328873386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Roman Markevich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328883386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jeffrey C. Ponko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328893386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David T Chong

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328903386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

David M. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328923386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mike Choi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328933386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 85 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thomas D. Fleming

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11328963386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Brent D. Robbins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329003386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert C. Allsbrook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329033386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 86 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Anthony DeChellis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329093386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gregory K. Nersessian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329103386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mahesh Rajagopalan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329133386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.96

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Elizabeth J Canjar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329203386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John Fisher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329213386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert J Hennessey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329223386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 88 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Matthew S DeSalvo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329263386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Dwight A.L. Skerritt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329293386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mark A Rosen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1375.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329303386

Amount of Each Receipt this Period

125.00

P/R Deduction (\$62.50 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

374.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 89 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gregory E. Usherovich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329323386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

George F. Kasten

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329353386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Tracy L Welch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329393386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven J Reis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329413386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

James Wallace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329423386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Russell J Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329433386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

145.84

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 178

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Scott Corman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329443386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Sankar R. Nair

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329463386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

John L. Bordes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329493386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nirmala Durgana

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329523386

Amount of Each Receipt this Period

20.00

P/R Deduction (\$10.00 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John Kneafsey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329533386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Daniel Slattery

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329573386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

103.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mohamed Subrati

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

366.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329583386

Amount of Each Receipt this Period

33.34

P/R Deduction (\$16.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Eric Eckholdt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329613386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Rian J Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329623386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

75.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 94 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Satyajit Bhattacharya

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329633386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Todd A Buchner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329643386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael R. Pedulla

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329653386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 95 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Hans W Bald

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329683386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lee Reichman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329703386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Paolo Mittiga

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329713386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 96 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

James B Drvostep

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329733386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329753386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joseph A. Rosner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329763386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 97 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Charles A Skowron

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329773386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jamie L. Cook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329783386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joseph Favaro

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329823386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 98 / 178

(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph A Soave

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329843386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Yukiko Manka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329853386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Karen M Snow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329873386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 99 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Donald E Pollard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329913386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Tom W Bilzi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329923386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Sean C. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329953386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher J. Ceraso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329973386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jerald E Slowik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329983386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Andrew B Federbusch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1100.00

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11329993386

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

162.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Marc L Yannaco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330003386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jason R Woods

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330043386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Doug J. Kepple

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330073386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

SUBTOTAL of Receipts This Page (optional)

250.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 102 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Timothy Darragh

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

916.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330083386

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mark Killian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330113386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Nicole P Douillet

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330133386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

125.00

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 103 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Glenn P Wittpenn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330163386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Shawn J Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330173386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Yongchu Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330203386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

83.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 104 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Kevin E Naughton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330263386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

William Belleville

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330283386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joshua S Blaine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330293386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 105 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Thaddeus D. Moore

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

479.09

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330303386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Timothy A. Dod

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1916.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330313386

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Steven M. Bock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330323386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 106 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

George Ching

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1133033386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward Ghislandi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1133034386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Bryant A Matthews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR1133035386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

62.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 107 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael J McConnell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330363386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael J Valentinas

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330373386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Susan Atkinson-Alcock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR11330403386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 108 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Matthew Donner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

708.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12364753386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Stephen R Dunne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12364813386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Matthew W Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12365063386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

138.88

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 109 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gregory D Kennedy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12365413386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bruce Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12365443386

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ann K Laemmle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12365533386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

346.82

TOTAL This Period (last page this line number only)

**SCHEDULE A (FEC Form 3X)
ITEMIZED RECEIPTS**Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 110 / 178

(check only one)

| | | | |
|---|------------------------------|------------------------------|---|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 |
| <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 <input type="checkbox"/> 17 |

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 1 | 1 | / | 3 | 0 | / | 2 | 0 | 0 | 9 |

Transaction ID: PR12365903386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Moshe Orenbuch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

882.30

Date of Receipt

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 1 | 1 | / | 3 | 0 | / | 2 | 0 | 0 | 9 |

Transaction ID: PR12365943386

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Sem-
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

Robert N. Pomroy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

| | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 1 | 1 | / | 3 | 0 | / | 2 | 0 | 0 | 9 |

Transaction ID: PR12366023386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Se-
mi-Monthly)**SUBTOTAL** of Receipts This Page (optional)

534.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 111 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joseph E Reece

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

3291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12366063386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Joseph R Sevita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12366233386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert M. Spingarn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

551.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12366403386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

319.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 112 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Darci J Tobin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12366503386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Albert Seward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

3291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12691133386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Anthony Blasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12691223386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.64

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 113 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher D Suozzi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12691333386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward M Yorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR12691513386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Katherine C Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17218343386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

191.12

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 114 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gregory Florek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17871493386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Victoria A Longo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

947.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17871573386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lisa A. Dorenfest

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17871583386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

164.10

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 115 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jon A Bernstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884473386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John C Broderick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

499.49

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884483386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Alastair J Cairns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884493386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

135.96

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 116 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

569.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884503386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ann Larsen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884583386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Frank R Malarkey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884603386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

118.06

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 117 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Steven E Ploder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884623386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Benjamin A Ruf

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884653386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Peter O Skoglund

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

955.32

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884663386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

180.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 118 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

William J Szilasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

499.49

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884683386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Heidi A Tickle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

587.11

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884693386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John C Vlahoplus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

551.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884703386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

164.12

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Richard S Wallach

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884713386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Andrew J Yao

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR17884723386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Nancy A Becker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263733386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 120 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Richard Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263753386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Biagio Bonanno

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263773386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael S Burke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

470.27

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263793386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

121.32

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 121 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michele D Cubic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

652.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263813386

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Denise A Fiallo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263863386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Matthew T Gardner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263873386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

139.42

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 122 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Kurt R Gearhart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263883386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Simon P Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263903386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Nadeem M. Hayat

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263923386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 123 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Neil M Holstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263933386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1103.01

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263943386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Derek K Jones

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22263973386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

167.76

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael Kurinets

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264023386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Carlos A Laboy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264033386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Joyce S Lim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

234.12

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264043386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

276.30

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 125 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mara B Lipner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264053386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Malcolm Campbell MacColl

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264063386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Todd Macy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264073386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

104.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 126 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Yuri Mamrukov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264083386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas M. Marchetti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264093386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Dale R Meder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

275.77

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264103386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.80

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 127 / 178

(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Keith G Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264113386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Scott C. Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264123386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Wendell A Mottley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1833.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264143386

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

229.14

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ciona Ni Chiosoig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264153386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael S Nies

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264163386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Shantha Ozgen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

551.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264183386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

115.12

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 129 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Darlene Pasquill

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264193386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Steven C Pierson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264203386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Hari Raghavan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264213386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

270.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 130 / 178

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Goutham Ramachandra

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264223386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Joan A Rippon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264233386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jack L Rubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

655.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264243386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

94.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ilicia P Silverman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264263386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

David W Smythe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

569.46

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264273386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John D Steel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

293.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264283386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Kristen M Stewart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264293386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John Thorndike

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.52

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264323386

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Brian J Tijan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.02

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264333386

Amount of Each Receipt this Period

20.82

P/R Deduction (\$10.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

249.96

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 133 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Tracy M Urquiaga

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

551.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264343386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

John Wilkins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

275.77

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264373386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Daniel L Eggers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

551.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264413386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

131.60

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Henry N Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

293.59

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264433386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Donald A Hansen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264443386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael Jakob

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264453386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

112.74

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 135 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Christopher R. McDonough

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

229.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264473386

Amount of Each Receipt this Period

20.84

P/R Deduction (\$10.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas P Rorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264483386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Evan H Sils

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

254.97

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264493386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.82

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 136 / 178

(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Matthew H. Wosk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22264513386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert J Bernstone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22336813386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Anthony K Kontoleon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22336833386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

124.98

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 137 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22336843386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$41.66 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mark D Callahan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

458.26

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR22620773386

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert S Flicker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2291.74

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR23546113386

Amount of Each Receipt this Period

208.34

P/R Deduction (\$104.17 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

291.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 138 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Fredrik L Akesson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061313386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Catalin V Barb

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061333386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Kyle C Baumgartner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061343386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 139 / 178

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

John R Blackledge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061353386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward Bowen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061363386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Sean T Brady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061373386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

157.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 140 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jessica H. Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061383386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Keith Cadieux

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061393386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Peter M Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061403386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

184.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 141 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jonathan F Chiarieri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061413386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ricardo C. Cuenca

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061423386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Frederic W Dassori

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061433386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 142 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mark L DePrizio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061443386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Matthew J Dillig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061453386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Robert E Durham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061463386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

184.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 143 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Peter J Feeney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061473386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Daniel P Fitzgerald

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061493386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Aaron M Gaydosik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061503386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

184.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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Detailed Summary Page

FOR LINE NUMBER: PAGE 144 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Hershel W. Gerson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061513386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Ryan C Gold

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061523386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mark A Haweny

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061533386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 145 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Janet A Hickey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061543386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael A Hood

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061563386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Thomas S Inman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061573386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

157.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 146 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Jonathan Jacoby

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061583386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Vijaynauth Jagarnauth

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061593386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Oksana Karpenko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061613386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

78.96

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 147 / 178

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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Sanford M Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061623386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Richard J Kirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061633386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Shanoop D. Kothari

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061653386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

184.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 148 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Shane W. Kovacs

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061663386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas A. Laspada

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

268.43

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061673386

Amount of Each Receipt this Period

31.58

P/R Deduction (\$15.79 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michelle E Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061683386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

84.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 149 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Eugene Lelev

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061693386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Edward V Lewandowski

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061703386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Andres F Lozano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061713386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 150 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David J Maloy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

894.71

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061733386

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bryan J Matthews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061743386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Brian Mc Govern

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061753386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

184.22

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 151 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Charles T McKinney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061763386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lee H Mewshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061773386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

David G Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061783386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 152 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Dolores O Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061793386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Michael E Moran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061803386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Mina P Nazemi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061843386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 153 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Paul E Noonan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061853386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Christopher D Ondrak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

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☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061873386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Rajeshwarakumar V. Pannala

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

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☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061883386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 154 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Theodore J Piper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061893386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Shane D Powers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061903386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Michael A Proskin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061913386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 155 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Philip J Struthers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061923386

Amount of Each Receipt this Period

52.64

P/R Deduction (\$26.32 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Paul Van Hook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061933386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Danielle R. Wescott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

223.72

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33061953386

Amount of Each Receipt this Period

26.32

P/R Deduction (\$13.16 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

105.28

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gautam K Ahuja

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583463386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Atila Ayan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583473386

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Garry T Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583503386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

138.90

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 157 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Paul E. Coudrier

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

444.48

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583513386

Amount of Each Receipt this Period

55.56

P/R Deduction (\$27.78 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Mickey E. Elbaum

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583523386

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Solomon Fried

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583533386

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

111.12

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER: PAGE 158 / 178

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mary Gilmartin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

222.24

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583543386

Amount of Each Receipt this Period

27.78

P/R Deduction (\$13.89 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Henry B Moog

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

447.44

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583563386

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583573386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

136.16

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583583386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583593386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Keith G Angell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583603386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 160 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ashley C Ater

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583613386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Lauren E Barnes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583623386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Rohit Bhatia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583633386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.26

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 161 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Stephen Bielskie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583643386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Brian J Birnbaum

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583653386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Jamie V Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583663386

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

205.88

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 162 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Joo Y Boe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583673386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Kevin Boyle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583683386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Roderick O Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583693386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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FOR LINE NUMBER: PAGE 163 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Mary Abigail Caedo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

382.33

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583703386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Paul V Calderone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583713386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Miranda F. Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583723386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

147.06

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 164 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Simon Chiu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583733386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Larry S Dickstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583763386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Gregory C Dietrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583773386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

147.06

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 165 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

James Doherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583783386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Thomas J Drake

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583793386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Andrew M Ferkol

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583823386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 166 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Constantin A Filitti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583833386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jack J Fondacaro

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583853386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Balaji Gopalakrishnan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583873386

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

205.88

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 167 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Srikanth Gurram

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583893386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Fabian Guzman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583903386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Bradley P Handler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583913386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

88.26

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 168 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Amy B Hudson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583923386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Susan H Jo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583933386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Heather C. Jones

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583943386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 169 / 178

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☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Michael J. Kim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583953386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Gregg R. Krieger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583963386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Ishan Lal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.15

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583973386

Amount of Each Receipt this Period

58.82

P/R Deduction (\$29.41 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.66

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 170 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Victor W. Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583983386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Nancy McAllister

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33583993386

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Tully P Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584013386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

176.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 171 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Daniel B Payet

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584033386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Robert B Perlman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584043386

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Alan R. Reifenberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584053386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

176.48

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 172 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Gene J Riccoboni

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584063386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Egidio G. Robertiello

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584073386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Bradley E Roberts

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584083386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

117.68

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 173 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Nathan P Romano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584093386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Anthony J Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584103386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

John C Scarnavack

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584123386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

205.92

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 174 / 178

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

David A Schrader

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584133386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Sem-
i-Monthly)

B.

Full Name (Last, First, Middle Initial)

Salvatore J Seguna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584143386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Craig W Siegenthaler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584163386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

205.92

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ryan M Sprott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584173386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Eric J Staub

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

441.30

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584183386

Amount of Each Receipt this Period

58.84

P/R Deduction (\$29.42 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Susan Swanezy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584193386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

235.34

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

George P Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2205.90

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584203386

Amount of Each Receipt this Period

294.12

P/R Deduction (\$147.06 Se-
mi-Monthly)

B.

Full Name (Last, First, Middle Initial)

William J Treen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584223386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Sem-
i-Monthly)

C.

Full Name (Last, First, Middle Initial)

Valery Tsyplenkov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584233386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Sem-
i-Monthly)

SUBTOTAL of Receipts This Page (optional)

441.20

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Serif Ustun

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584243386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Jamie W Welch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

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Occupation

Investment Banking

Aggregate Year-to-Date ▼

882.45

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584253386

Amount of Each Receipt this Period

117.66

P/R Deduction (\$58.83 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Shui Wong

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584263386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

176.50

TOTAL This Period (last page this line number only)

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

A.

Full Name (Last, First, Middle Initial)

Ernest Zayicek

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

220.65

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33584273386

Amount of Each Receipt this Period

29.42

P/R Deduction (\$14.71 Semi-Monthly)

B.

Full Name (Last, First, Middle Initial)

Alan K Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33683233386

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

C.

Full Name (Last, First, Middle Initial)

Kenneth R Deasy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing
federal political committee.

C

Name of Employer
Credit Suisse Securities
(USA) LLC

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y
1 1 / 3 0 / 2 0 0 9

Transaction ID: PR33683243386

Amount of Each Receipt this Period

31.26

P/R Deduction (\$15.63 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)

91.94

TOTAL This Period (last page this line number only)

31372.11